

Ron S. Geffner

Partner & Head of Financial Services Group
Sadis & Goldberg

Ron S. Geffner is a member of Sadis & Goldberg LLC and oversees the Financial Services Group of the firm. He regularly structures, organizes and counsels private investment vehicles, investment advisory organizations, broker-dealers, commodity pool operators and other investment fiduciaries. Mr. Geffner's broad background with federal and state securities laws and the rules and regulations of the National Association of Security Dealers, Commodities Futures Trading Commission and various other regulatory bodies, enables him to provide strategic guidance to a diverse clientele. He provides legal services to hundreds of hedge funds, venture capital funds and funds of funds, organized in the United States and offshore.

Prior to joining Sadis & Goldberg, Mr. Geffner was associated with two other New York City based law firms, where he represented domestic and offshore private investment vehicles, as well as broker-dealers, registered investment advisers and registered investment companies. He began his corporate legal career as in-house counsel to the Investment Management Industry Services Group of PricewaterhouseCoopers LLP. He provided legal advice to the Group regarding investment advisers, registered investment companies and broker-dealers.

Mr. Geffner began his legal career with the United States Securities and Exchange Commission, where he investigated and prosecuted violations of the Federal securities laws. He also assisted federal and state criminal agencies such as the Federal Bureau of Investigation, U.S. Attorney's Office and the Attorney General's Office, in connection with their investigations of possible criminal violations of federal and state securities laws.

Mr. Geffner is often interviewed as a legal expert in the securities industry and has been quoted in Fox News, CBS Morning Show, CBS Evening News with Dan Rather, Squak Box and Power Lunch on CNBC, British Broadcasting Channel, Bloomberg Radio, The New York Times, Bloomberg News, Barron's, Barron's Online, Reuters, Dow Jones, Financial Times, New York Newsday, London Daily News, TheStreet.com, Private Equity Week and other national and international publications. In addition, he has published a number of leading industry and legal articles.

Mr. Geffner received his J.D. in 1991 from Benjamin N. Cardozo School of Law, and received his B.A. degree in 1988 from Rutgers University. He is a member of the New York and New Jersey Bars.